development, as *nos*-related mRNAs are associated with germ line in dipteran insects<sup>16</sup> and the frog<sup>17,18</sup>. We propose that localized nanos activity has a widespread role in germ-line development, in addition to its role in the establishment of embryonic asymmetry.

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## **Defects in cardiac outflow tract** formation and pro-B-lymphocyte expansion in mice lacking Sox-4

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A STRIKING example of the relationship between regulation of transcription and phenotype is the central role of the Y-chromosomal gene Sry in mammalian sex determination<sup>1,2</sup>. Sry is the founding member of a large family of so-called Sox genes<sup>1,3</sup>. During murine embryogenesis, the transcriptional activator Sox-4 is expressed at several sites, but in adult mice expression is restricted to immature B and T lymphocytes4. Using targeted genedisruption, we have found that  $Sox-4^{-/-}$  embryos succumb to circulatory failure at day E14. This was a result of impaired development of the endocardial ridges (a specific site of Sox-4 expression) into the semilunar valves and the outlet portion of the muscular ventricular septum. The observed range of septation defects is known as 'common arterial trunk' in man. We studied haemopoiesis in lethally irradiated mice reconstituted with Sox- $4^{-\tilde{l}-}$  fetal liver cells and found that a specific block occurred in B-cell development at the pro-B cell stage. In line with this, the frequency and proliferative capacity of IL-7-responsive B cell progenitors in fetal liver were severely decreased in vitro.

In the heart at embryonic day E13, Sox-4 is expressed exclusively in the endocardial cushions and ridges (Fig. 1). After disruption of the *Sox-4* gene (Fig. 2), no homozygous mutant offspring were born. At E13, *Sox-4*<sup>-/-</sup> embryos were macroscopically indistinguishable from their littermates. They then rapidly

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developed generalized oedema and died at E14. In moribund mutant embryos, heart rate increased while the blood was oscillating, suggesting a valvular insufficiency. Histological analysis of 12 mutant embryos consistently revealed dysplasia of the semilunar valves (Fig. 3). Furthermore, a large septation defect affected the entire outlet portion of the ventricles and, to a variable extent, the great arteries. This spectrum of cardiac development defects was indistinguishable from common arterial trunk type I (Fig. 3c-e) and type II (Fig. 3a, b) in man<sup>5</sup>.

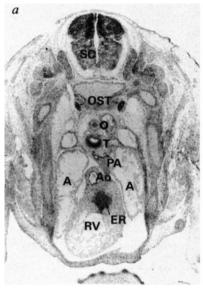
The semilunar valves develop from the upper part of the endocardial ridges. Neural crest tissue is thought to be an important contributor to the proper development of the arterial pole of the heart, including the endocardial ridges<sup>6</sup>. The lower part of the endocardial ridges of the outflow tract is invaded by cardiomyocytes to form the outlet portion of the muscular interventricular septum<sup>7</sup>. To separate left and right circulation, the endocardial ridges fuse. Common arterial trunk type II will result, when the ridges remain unfused<sup>5</sup>. When additionally the aortopulmonary septum is not formed, common arterial trunk type I will result5

In E13/14 Sox-4 mutant embryos, the myocardium was not primarily affected by the mutation (Fig. 3f, g). The endocardial cushions were present and yielded normal atrioventricular valves (Fig. 3f). The endocardial ridges, however, never fused and failed to develop into proper semilunar valves. The absence of functional semilunar valves explains the oscillations of the blood observed in dying E14 embryos.

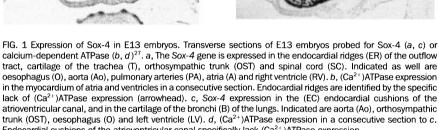
We conclude that the cardiac phenotype is the consequence of a primary defect in endocardial ridge development. This conclusion is based on two observations. (1) The ridges are specific (although not exclusive) sites of Sox-4 expression, and (2) development of the semilunar valves from the ridges and fusion of these ridges is impaired. We suggest that the impaired development of the neural-crest-derived aortopulmonary septum is a consequence of the defect in the endocardial ridges, possibly due to the disturbance of the inductive processes between the ridges and the ingrowing neural crest-derived cells. Although several other gene disruptions interfere with cardiac septation<sup>8–13</sup>, the features of the Sox-4 mutation are unique in that they are confined to the arterial pole of the heart.

To investigate  $Sox-4^{-/-}$  haemopoiesis, fetal liver cells of E13 embryos (H-2b) were injected into lethally irradiated MHC disparate recipients (H-2<sup>b/d</sup>). In all mice, normal numbers of granulocytes and monocytes of donor origin were observed (not shown). After 8 weeks, lymphoid tissues were analysed. Donorderived T lymphocytes (60-75%) were present, independent of the Sox-4 genotype of the transplant (Fig. 4a, b). In contrast, CD43<sup>-</sup>B220<sup>+</sup> pre-B cells were almost completely absent, whereas numbers of CD43<sup>+</sup>B220<sup>+</sup> pro-B cells were reduced in Sox-4<sup>-/-</sup>-

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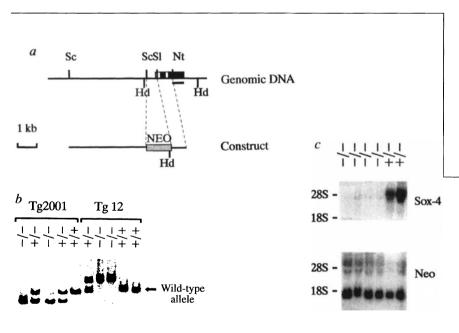






Endocardial cushions of the atrioventricular canal specifically lack (Ca<sup>2+</sup>)ATPase expression.

METHODS. *In situ* hybridization has been described<sup>27</sup>. The RNA probe was transcribed from linearized *Sox-4* cDNA<sup>4</sup> in pBluescript SK using T3 RNA polymerase and <sup>35</sup>S-UTP (Stratagene). The probe (300 bp) contained unique untranslated sequences from the 5' end of the mRNA (*E*coRI–SacI).



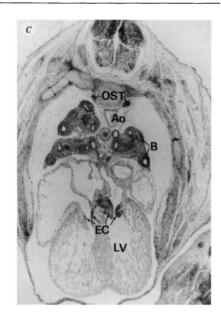




FIG. 2 Targeting of the Sox-4 gene. a, Structure of gene and construct used for homologous recombination. The coding sequence of the gene (top) comprises about 1,300 bp (boxed region). Positions of the HMG box (dark shading) and serine-rich stretch (lighter shading) are indicated. The construct lacks the initiator methionine. The PGK-neo' cassette was inserted in two orientations. A cDNA probe 3' of the Notl site (underline bar) was used as flanking probe to detect homologous recombination on DNA digested with HindIII. Restriction sites: Sc, SacI; Nt, NotI; SI, SalI; Hd, HindIII. b, Identification of Sox-4 $^{-/-}$  mutant embryos by Southern blotting. Strain Tg12 contains the neo cassette in the sense orientation of the Sox-4 gene and in strain Tg2001 it is in the opposite orientation. Examples of wild-type, heterozygous and homozygous mutant embryos of both strains are shown. c, Northern blotting of RNA isolated from Sox-4 $^{+/-}$  and Sox-4 $^{-/-}$  embryos. RNA was isolated from the heads of E13 embryos, electrophoresed, blotted and hybridizad with a 5' untranslated cDNA probe (Fig. 1). Lower panel shows hybridization of the same samples with a neo'-

coding probe as a control. Migration positions of RNA size markers (28S, 16S) are indicated.

METHODS. The Sox-4 gene was cloned from a mouse (129/Ola) genomic librany  $^{28}$ . As the long arm of homology, a 4-kb Sacl fragment was cloned in the Sacl site of the pGEM-11 (Promega) vector. An Xhol–Sall digested PGK-neo cassette, containing the PGK promoter and the bovine growth hormone polyadenylation signal (from P. Soriano), was ligated in the Sall site of pGEM-11 in two orientations. Finally, an 800-bp Sall–Notl fragment from the Sox-4 coding sequence was ligated between the Xhol and Notl sites of pGEM-11 as the short arm of homology (oligonucleotides from Isogen, Amsterdam). After linearization with Notl, the construct was electroporated in E14 ES cells. G418 (300  $\mu g\, ml^{-1}$ )-resistant clones were selected in the presence of buffalo rat liver cell conditioned medium. Germline transmission was obtained from two ES cell lines, with the PGK-neo cassette in opposite orientations, yielding identical phenotypes. Heterozygous mice were backcrossed to C57BL/6 for consecutive generations. Southern and northern blots were prepared according to standard procedures.

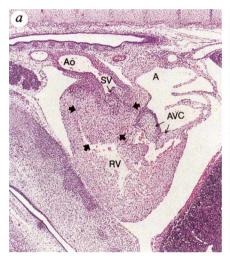
reconstituted bone marrow (Fig. 4c). During differentiation in the pro-B cell compartment, progenitors express increasing levels of heat-stable antigen (HSA)<sup>14</sup>. Pro-B cells are divided in fraction A (HSA<sup>low</sup>), where D-J rearrangement starts to occur<sup>15</sup>, fraction B+C (HSA<sup>+</sup>), and fraction C' (HSA<sup>++</sup>), in which most cells have rearranged the heavy chain gene<sup>14,15</sup>. In the  $Sox-4^{-/-}$  bone marrow, fraction C' was virtually absent. Fraction B+C was decreased, whereas cells of fraction A were present in normal numbers. We also noted low numbers of CD43<sup>-</sup>B220<sup>++</sup> cells in  $Sox-4^{-/-}$  bone marrow (Fig. 4c), spleen and lymph nodes (Fig. 4a, b). These cells were donor-derived, surface-immunoglobulin-positive and represented mature B cells, indicating that the block in B-cell differentiation was not absolute.

*In vitro*, B-cell precursors in fetal liver that were responsive to interleukin-7 (IL-7) were reduced at least 10-fold in  $Sox-4^{-/-}$  embryos compared to littermates (Fig. 4d). Furthermore,  $Sox-4^{-/-}$  clones contained  $10^2-10^3$  cells after two weeks of culture,

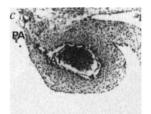
whereas the control clones contained  $10^4$ – $10^5$  cells. 29/30 heterozygous clones secreted immunoglobulin in response to lipopolysaccharide (LPS), indicating that B cells were present. None of seven mutant clones responded to LPS (Fig. 4*e*). Some Sox- $4^{-/-}$  clones grew more rapidly after 6 weeks with IL-7 and became LPS-responsive (results not shown). Taken together Sox- $4^{-/-}$  fetal livers not only contain less IL-7-responsive precursors, but these cells also expand much more slowly than control cells

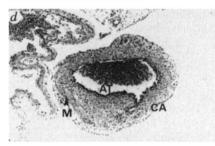
Where should the *Sox-4* gene be positioned in the molecular hierarchy controlling B-cell development? The hallmark of B-lineage development is the ordered rearrangement of the immunoglobulin genes and the assembly of functional receptor complexes on the cell surface. Mutations that interfere with surface expression of the immunoglobulin heavy chain<sup>15,16</sup> result in an accumulation of cells in fraction B+C, and normal frequencies of IL-7 clonable precursors<sup>14,17,18</sup>. In contrast,  $Sox-4^{-/-}$ 

FIG. 3 Cardiac abnormalities in Sox- $4^{-/-}$ embryos. a, b, Sagittal sections (haemotoxilineosin (HE) staining) of normal (a) and mutant (b) E14 embryos show that in this  $Sox-4^{-/-}$  embryo the outlet portion of the muscular ventricular septum has failed to develop from the endocardial ridges (thick arrows). The semilunar valves are severely dysplasic. This particular heart represents an example of common arterial trunk type II. Aorta and pulmonary trunk are present, but both connect to the right ventricle. The atrioventricular valves (tricuspidalis) are normal. The thinning of the myocardium (sometimes present at E14) was never observed at E13 and therefore probably represented a secondary effect of the mutation. c-e, Transverse sections (haematoxylin-azophloxine (HA) staining) at different levels of a mutant heart (E14) representing a common arterial trunk type I. There is only one arterial trunk. It originates from the right ventricle (e), giving rise to both coronary arteries (d) as well as pulmonary arteries (c), and proceeds as aorta. f, g, Frontal sections of a mutant heart (E13) stained for smooth muscle α-actin, demonstrating that endocardial cushions (f) as well as the myocardium are normal (f, g) at E13. Although the endocardial ridges (g) will not develop into semilunar valves, the available mass of the ridges prevents regurgitation of the blood at this stage, explaining why circulatory failure occurs as late as E14. A, atrium; Ao, aorta; AT, common arterial trunk; EC, endocardial cushions of atrioventricular canal (AVC); CA, coronary artery; DA, ductus arteriosus; ER, endocardial ridges; LV, left ventricle; M. myocardium; PA, pulmonary artery; PT, pulmonary trunk; SV, semilunar valves; RV, right ventricle.















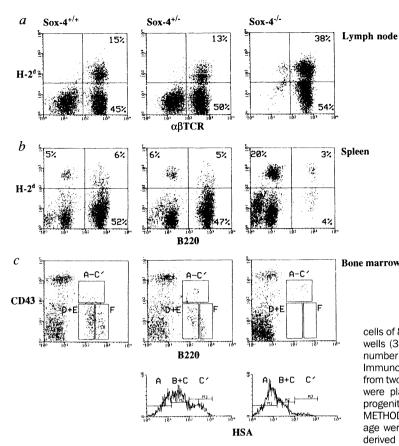


FIG. 4 B-cell development of Sox-4<sup>-/-</sup> progenitor cells is impaired in vivo and in vitro. a-c, Lymphoid cells of bone marrow irradiation chimaeras analysed by FACS eight weeks after reconstitution. a. Lymph node cell suspensions stained with H-2<sup>d</sup> and  $\alpha\beta$  T-cell receptor specific antibodies. T cells are generated in nearly normal numbers from Sox-4<sup>-/-</sup> progenitor cells. Note the virtual absence of non-T cells in the mutant, b, Spleen cell

bone marrow shows a decrease in fraction B + C (Fig. 3c), and the responsiveness of B-cell progenitors to IL-7 in  $Sox-4^{-/-}$  fetal liver is strongly diminished. The proliferative capacity of  $Sox-4^{-/-}$  pro-B cells is affected at a stage not yet dependent on surface expression of immunoglobulin heavy chain. This defect resembles the B-lineage defect in IL-7 receptor and IL-2R γ-chain mutant mice<sup>19,20</sup> and suggests that the Sox-4 gene product is a component of the pathway that controls pro-B-cell expansion.

Disruption of several other transcription factor genes has affected the B lineage. A tentative hierarchy of such factors can be proposed. Mutation of the Ikaros zinc-finger gene results in the

f CFU-mix d ProB cells e lg secretion 100 20 secreting positive/48 wells clones s positive/48 esponsive Wells +/+ +/-+/-+/+ Genotype of fetal liver cells

suspensions stained with H-2<sup>d</sup> and B220 (CD45R) specific antibodies. Few mature B cells are present in Sox- $4^{-/-}$  reconstituted mice. c, Bone marrow cells stained with CD43, B220 and HSA specific antibodies. Pre-B cells (fraction D + E) and mature B cells (fraction F) are boxed. Pro-B cells, fraction A - C' (CD43<sup>+</sup>B220<sup>+</sup>) were analysed for HSA expression. Percentages of cells for Sox- $4^{+/-}$  and Sox- $4^{-/-}$  mice, respectively, are: A–C': 4.3 and 3.0%, of which A has 30 and 66%; B + C: 44 and 31%; F: 24 and 4%. d-f, Fetal liver cells analysed in vitro. d, Fetal liver

cells of 8 Sox- $4^{-/-}$ , 7 Sox- $4^{+/-}$  and 5 Sox- $4^{+/+}$  embryos were plated in 48 wells (300 cells per well) in the presence of IL-7. After 12-14 days, the number of wells (of 48) that showed growth of lymphocytes is indicated. e. Immunoglobulin secretion after lipopolysaccharide stimulation of all clones from two Sox- $4^{+/-}$  and of two Sox- $4^{-/-}$  embryos. f, Cells of the embryos in d were plated in 48 wells (100 cells per well). Sox-4+/- and Sox-4progenitor cells yielded comparable numbers of mixed myeloid colonies. METHODS. a-c, (C57BL/6 × DBA/2)F1 mice between 5 and 7 weeks of age were irradiated (9.5 Gy) and injected with one E13 fetal liver. Hostderived cells were detected with an H-2<sup>d</sup> specific antibody (34-2-12), d-f Primary cultures were prepared as described<sup>29</sup>. For the detection of mixed myeloid colonies, 100 cells per well were plated in 96-well plates with MGF (Genetics Institute), IL-3 (from F. Melchers), IL-11 and EPO (1 U ml<sup>-1</sup>). For the detection of clonable B-cell precursors, 300 cells per well were plated on irradiated (30 Gy) S17 stromal cells (from K. Dorshkind) with IL-7 (A. Rolink) and IL-11 (R. Hawley<sup>30</sup>).

absence of all lymphoid lineages<sup>21</sup>. Absence of functional EBF or E2A results in a B-lineage block, apparently preceding that of the Sox-4 mutation, before or in the pro-B cell stage, as mice with this mutation generate no<sup>22,23</sup> or only very few<sup>24</sup> B220<sup>+</sup> cells. Mutation of the *Pax-5* gene<sup>25</sup> has yielded a B-lineage block comparable to that of Sox-4.

We have previously reported that disruption of the T-lymphocyte-specific HMG-box gene Tcf-1 specifically blocks thymocyte expansion at the transition of CD4<sup>-</sup>CD8<sup>-</sup> cells to the CD4<sup>+</sup>CD8<sup>+</sup> stage<sup>26</sup>. Sox-4 and Tcf-1 might thus be controlling similar processes in B- and T-lineage development, respectively.

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